



Our role is to link you with accredited RE training providers, assist with enrolment, and coordinate the process from start to finish – ensuring you are fully supported until you successfully complete and pass your Regulatory Examination.

RE 5

RE5 (REPRESENTATIVES): REQUIRED FOR ALL REPRESENTATIVES PROVIDING FINANCIAL SERVICES TO CLIENTS.

RE 1

RE1 (KEY INDIVIDUALS): REQUIRED FOR KIS, SOLE PROPRIETOR FSPS, AND COMPLIANCE OFFICERS OVERSEEING CATEGORIES I, II, IIA, III, AND IV FSPS.

RE 3 & 4

RE3 & RE4: SPECIALIZED EXAMS FOR KIS IN CATEGORY II/IIA (DISCRETIONARY) AND CATEGORY III (ADMINISTRATIVE) FSPS, RESPECTIVELY, IN ADDITION TO RE1.

MUST BE COMPLETED WITHIN ...



OF THE DATE OF FIRST APPOINTMENT

- **Deadlines:** Exams must generally be completed within 24 months of first appointment, though it is highly recommended to complete them sooner.
- **Content:** Focuses on the FAIS Act, FICA, General Code of Conduct, and relevant subordinate legislation.
- **Registration:** Bookings for exams are made through appointed examination bodies, requiring ID/passport, FSP number *if any*, and personal details.



FSCA REGULATORY EXAMINATION (RE) REQUIREMENTS MANDATE THAT ALL KEY INDIVIDUALS (KIS) AND REPRESENTATIVES (REPS) IN THE SOUTH AFRICAN FINANCIAL SERVICES INDUSTRY PASS SPECIFIC EXAMS (RE1 FOR KIS, RE5 FOR REPS) TO PROVE COMPETENCE UNDER THE FAIS ACT. THESE "FIT AND PROPER" REQUIREMENTS ENSURE COMPLIANCE WITH REGULATORY FRAMEWORKS.

FSCA FIT AND PROPER TIMELINES START AT DOFA. RE EXAMS, QUALIFICATIONS, COB AND CPD MUST BE COMPLETED WITHIN PRESCRIBED REGULATORY TIMEFRAMES.





FIT & COMPLIANT

Expert Fit and Proper Compliance Made Easy



RE Exams



Qualifications



Ongoing Support



RE Exams



Qualifications



OUR ROLE IN YOUR REGULATORY EXAMINATION JOURNEY

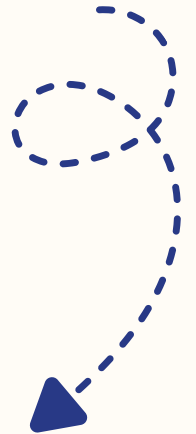


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WHAT FIT & COMPLIANT CONSULTING DOES FOR YOU:

Standard assistance includes (no specific order):

- ✓ DOFA date assessment and deadline confirmation
- ✓ Registration with accredited RE Study Support / Recourse providers
- ✓ Structured study timeline and exam preparation guidance
- ✓ Exam booking coordination
- ✓ Ongoing follow-ups and progress tracking



WHAT THIS MEANS FOR YOU:

- ✓ You focus on learning and completing your training — we handle the admin, follow-ups, and compliance coordination.
- ✓ You are assigned your own personal coordinator.
- ✓ Your coordinator guides you step-by-step, from enrolment to completion
- ✓ We partner with trusted, accredited educational providers (Discounts avail)
- ✓ You can complete your RE Studies, from the comfort of almost anywhere.

Fit & Compliant Consulting is your STUDY link — coordinating enrolment and support with accredited providers, until your RE is achieved.



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