

**NQF qualifications are SAQA-registered, nationally recognised qualifications required under the FSCA Fit and Proper framework.**

These qualifications confirm that financial services professionals have the knowledge, competence, and industry understanding required to render financial advice or intermediary services.

**Obtaining the correct NQF qualification is a mandatory regulatory requirement** for many representatives and key individuals. Compliance is assessed based on:

- Your DOFA date
- Your licensed product categories
- Your role within the business
- Applicable FSCA transitional arrangements

**FSCA deadlines don't wait.**

We coordinate your RE, COB, NQF, and CPD requirements, manage timelines, and keep you compliant – contact us now to avoid delays and stay authorised to operate without the extra stress of where to fit in your studies.





MUST BE  
COMPLETED  
WITHIN ...



OF THE DATE OF  
FIRST  
APPOINTMENT

Our role is to link you with accredited education institutions, assist with enrolment, and coordinate your qualification journey – ensuring progress is tracked until you obtain a recognised qualification or required NQF credits in line with FSCA Fit and Proper requirements.

### Standard assistance includes (no specific order):

- Qualification and credit requirement assessment.
- Verification of SAQA-registered and accredited providers.
- Enrolment coordination and timeline planning
- Progress tracking and follow-ups
- Guidance aligned to FSCA rules and transitional provisions.


### What Are NQF Qualifications?

NQF qualifications are SAQA-registered, nationally recognised qualifications required under the FSCA Fit and Proper framework. These qualifications confirm that financial services professionals have the knowledge, competence, and industry understanding required to render financial advice or intermediary services.

### Why NQF Qualifications Matter

- Obtaining the correct NQF qualification is a mandatory regulatory requirement for many representatives and key individuals. Compliance is assessed based on:
  - Your DOFA date.
  - Your licensed product categories.
  - Your role within the business.
  - Applicable FSCA transitional arrangements.

## FROM OVERWHELMED TO ON TRACK.



087 265 0690  
065 748 8391

info@fitandcompliant.com  
www.fitandcompliant.com

### 3. CORE INFO: NQF / RECOGNISED QUALIFICATIONS



SAQA-Approved FAIS / Credit-Aligned Qualifications  
These qualifications are recognised by SAQA and commonly aligned to FSCA Fit and Proper requirements, depending on product category, role, and DOFA date.

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MUST BE COMPLETED WITHIN ...



OF THE DATE OF FIRST APPOINTMENT

NQF LEVEL 4 FET CERTIFICATE: WEALTH MANAGEMENT

NQF LEVEL 4 FET CERTIFICATE: SHORT TERM INSURANCE

NQF LEVEL 4 FET CERTIFICATE: RETAIL INSURANCE

NQF LEVEL 4 OCCUPATIONAL CERTIFICATE: SHORT-TERM INSURANCE CLAIMS ASSESSOR

NQF LEVEL 4 OCCUPATIONAL CERTIFICATE: LONG-TERM INSURANCE CLAIMS ASSESSOR

NQF LEVEL 4 FET CERTIFICATE: FINANCIAL ADVICE

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# 4. CORE INFO: NQF / RECOGNISED QUALIFICATIONS



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NQF LEVEL 5 HIGHER CERTIFICATE: WEALTH MANAGEMENT

NQF LEVEL 5 NATIONAL CERTIFICATE: WEALTH MANAGEMENT

NQF LEVEL 5 NATIONAL CERTIFICATE: WEALTH MANAGEMENT  
FINANCIAL PLANNING PROGRAMME

NQF LEVEL 5 NATIONAL CERTIFICATE: WEALTH MANAGEMENT  
INVESTMENT PLANNING PROGRAMME

NQF LEVEL 5 NATIONAL CERTIFICATE: WEALTH MANAGEMENT  
HEALTHCARE BENEFITS PROGRAMME

NQF LEVEL 5 NATIONAL CERTIFICATE: BANKING

NQF LEVEL 5 NATIONAL CERTIFICATE: SHORT-TERM INSURANCE

NQF LEVEL 5 OCCUPATIONAL CERTIFICATE LONG-TERM ASSURANCE  
LONG-TERM ASSURANCE ADVISOR

NQF LEVEL 5 OCCUPATIONAL CERTIFICATE INSURANCE UNDERWRITER

MUST BE  
COMPLETED  
WITHIN ...

6 YEARS

OF THE DATE OF  
FIRST  
APPOINTMENT



# 5. CORE INFO: NQF / RECOGNISED QUALIFICATIONS



MUST BE  
COMPLETED  
WITHIN ...



OF THE DATE OF  
FIRST  
APPOINTMENT

NQF LEVEL 6 OCCUPATIONAL CERTIFICATE: INVESTMENT ADVISOR

NQF LEVEL 6 OCCUPATIONAL CERTIFICATE: COMPLIANCE OFFICER

NQF LEVEL 6 ADVANCED CERTIFICATE: FINANCIAL PLANNING

NQF LEVEL 7 BCOM: FINANCIAL MANAGEMENT DEGREE

NQF LEVEL 8 POSTGRADUATE DIPLOMA: FINANCIAL PLANNING

NQF LEVEL 8 POSTGRADUATE DIPLOMA: BANKING

NQF LEVEL 8 POSTGRADUATE DIPLOMA: INVESTMENT MANAGEMENT

## What We Assist With

- Qualification and credit requirement assessment.
- Verification of SAQA-registered and accredited providers.
- Provide you with options that suite you best.
- Enrolment coordination and timeline planning.
- Progress tracking and follow-ups.
- Guidance aligned to FSCA rules and transitional provisions.

*Our role is to link you with accredited education institutions, assist with enrolment, and coordinate your qualification journey – ensuring progress is tracked until you obtain a recognised qualification or required NQF credits in line with FSCA Fit and Proper requirements.*



**“Compliance is not a hurdle – it’s the foundation of a credible and sustainable financial career.”**

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